



SURRENDER AND RETURN
OF ORIGINAL PATENT

Attorney Docket No.
I 852-002

IN REISSUE APPLICATION

INSULATED REMOVABLE POND COVER

OFFER TO SURRENDER AND RETURN OF ORIGINAL PATENT

In accordance with 37 C.F.R. 1.178, the applicant offers to surrender the original United States Patent 5,400,549, enclosed herewith, in exchange for a satisfactory reissued patent. If the reissue be refused, applicant requests return of the original patent.

FRIEDERICHS LAW FIRM, plc.

CERTIFICATE OF EXPRESS MAILING

Encl. Mailing Label No. EH699387244
Date of deposit: 3-28-97

1. That the following papers (and or fec(s)) are
2. Mailed with the United States Postal Service
3. To the Post Office to addressee: service under
4. On _____, addressed
5. To the Postmaster of Patents and Trademarks,
Washington, D.C. 20231:

Received by: Fully submitted,
FRIEDERICHS LAW FIRM, plc

By: [Signature]

By: [Signature]

Norman P. Friederichs (# 24,919)
N. Paul Friederichs, III (# 36,515)
Paul L. Maeyaert (# 40,076)

Suite 425, Pillsbury Center
200 South Sixth Street
Minneapolis, Minnesota 55402
Tel: 612/672-0102
Fax: 612/672-0214

FRIEDERICH'S LAW FIRM, plc.

Suite 425 Pillsbury Center
200 South Sixth Street
Minneapolis, Minnesota 55402
Phone: (612) 672-0102
Fax: (612) 672-0214



March 28, 1997

Commissioner of Patents and Trademarks
Washington, D.C. 20231

RE: REISSUE LITIGATION

Enclosed for filing are the following Documents:

1. Application - INSULATED REMOVABLE POND COVER
2. Order for a Title Report Under 37 C.F.R. 1.171 with \$25.00 search fee
3. Request for Transfer of Drawings and Drawings
4. Combined Declaration Under 37 C.F.R. §§1.63 1.75(a) and POA
5. Verified Statement Claiming Small Entity Status - Independent Inventor
6. Reissue Application Fee Determination Record with \$813.00 filing fee
7. Verified Statement - Small Entity Status (2)
8. Offer to Surrender and Return of Original patent

Yours very truly,

N. Paul Friederichs, III

PF:sls

cket - I 852-002

CERTIFICATE OF EXPRESS MAILING

Express Mailing Label No. EH699387244 US

Date of Deposit: March 28, 1997

I certify that the following papers (and or fee(s)) are being deposited with the United States Postal Service "Express Mail Post Office to Addressee: service under 37 CFR § 1.19 on _____, _____, addressed to the Commissioner of Patents and Trademarks, Washington, D. C. 20231:

Respectfully submitted,
FRIEDERICH'S LAW FIRM, plc

By Stacy J. Smaddy

I am:

 X an official of the small business concern empowered to act on behalf of the concern identified below:

ADDRESS OF CONCERN: 6009 Chapel Drive
Minneapolis, MN 55439

II. OWNERSHIP OF INVENTION BY DECLARANT

Rights under contract or law remain with or have been conveyed to the above-identified concern. If the rights held are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person who could not be classified as (1) an independent inventor under 37 CFR § 1.9(c) if that person had made the invention, (2) a small business concern under 37 CFR § 1.9(d) or (3) a non-profit organization under 37 CFR § 1.9(e).

☐ There is no such person, concern, or organization.

☒ The person, concerns, or organizations are listed below:

FULL NAME The Lemna Corporation

ADDRESS 1408 Northland Drive, Suite 310
 St. Paul, MN 55120-1013

☐ Individual

☒ Small Business Concern

☐ Non-Profit Organization.

NAME OF CONCERN: William D. Morgan

ADDRESS OF CONCERN: 6009 Chapel Drive
 Minneapolis, MN 55439

☒ Individual

☐ Small Business Concern

☐ Non-Profit Organization.

III. ACKNOWLEDGEMENT OF DUTY TO NOTIFY PTO OF STATUS CHANGE

I acknowledge the duty to file, in this application or patent, notification of any change resulting in loss of entitlement to small entity status pursuant to 37 CFR § 1.28(b).

IV. DECLARATION

All statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

V. SIGNATURES

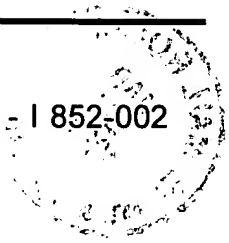
INDUSTRIAL & ENVIRONMENTAL CONCEPTS, INC.

Signature: William D. Morgan Date: 28 March 1997
William D. Morgan

Title: President

VERIFIED STATEMENT CLAIMING
SMALL ENTITY STATUS
(SMALL BUSINESS CONCERN)

Atty Docket - I 852-002



Inventor(s) William D. Morgan

Title INSULATED REMOVABLE POND COVER

With respect to the invention described in

☒ the reissue application filed herewith

_____ application Serial No. _____ filed (filing date)

☒ Patent No., 5,400,549, issued March 28, 1995

I. IDENTIFICATION OF DECLARANT AND RIGHTS AS A SMALL ENTITY

I am:

_____ the owner of the small business concern identified below:

☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: The Lemna Corporation

ADDRESS OF CONCERN: 1408 Northland Drive, Suite 310
St. Paul, MN 55120-1013

CERTIFICATE OF EXPRESS MAILING

Express Mailing Label No. EH699387244US

Date of Deposit: March 28, 1997

I certify that the following papers (and or fee(s)) are being deposited with the United States Postal Service "Express Mail Post Office to Addressee: service under 37 CFR § 1.10 on _____, addressed to the Commissioner of Patents and Trademarks, Washington, D. C. 20531:

Respectfully submitted,
FRIEDERICH'S LAW FIRM, plc

By A. L. Anoddy

403200 DECEMBER

II. OWNERSHIP OF INVENTION BY DECLARANT

Rights under contract or law remain with or have been conveyed to the above-identified concern. If the rights held are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person who could not be classified as (1) an independent inventor under 37 CFR § 1.9(c) if that person had made the invention, (2) a small business concern under 37 CFR § 1.9(d) or (3) a non-profit organization under 37 CFR § 1.9(e).

☐ There is no such person, concern, or organization.

☒ The person, concerns, or organizations are listed below:

NAME OF CONCERN: Industrial & Environmental Concepts, Inc.

ADDRESS OF CONCERN: 6009 Chapel Drive
Minneapolis, MN 55439

☐ Individual

☒ Small Business Concern

☐ Non-Profit Organization.

NAME OF CONCERN: William D. Morgan

ADDRESS OF CONCERN: 6009 Chapel Drive
Minneapolis, MN 55439

☒ Individual

☐ Small Business Concern

☐ Non-Profit Organization.

III. ACKNOWLEDGEMENT OF DUTY TO NOTIFY PTO OF STATUS CHANGE

I acknowledge the duty to file, in this application or patent, notification of any change resulting in loss of entitlement to small entity status pursuant to 37 CFR § 1.28(b).

IV. DECLARATION

All statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

V. SIGNATURES

THE LEMNA CORPORATION

Signature: 

Steven M. Vogel

Date: 3/28/97

Title: President/CEO

FULL NAME Industrial & Environmental Concepts, Inc.

ADDRESS 6009 Chapel Drive
Minneapolis, MN 55439

☐ Individual

☒ Small Business Concern

☐ Non-Profit Organization.

FULL NAME The Lemna Corporation

ADDRESS 1408 Northland Drive, Suite 310
St. Paul, MN 55120-1013

☐ Individual

☒ Small Business Concern

☐ Non-Profit Organization.

III. ACKNOWLEDGEMENT OF DUTY TO NOTIFY PTO OF STATUS CHANGE

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IV. DECLARATION

All statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Signature: William D. Morgan Date: 28 March 1997
William D. Morgan

Signature: _____ Date: _____

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